

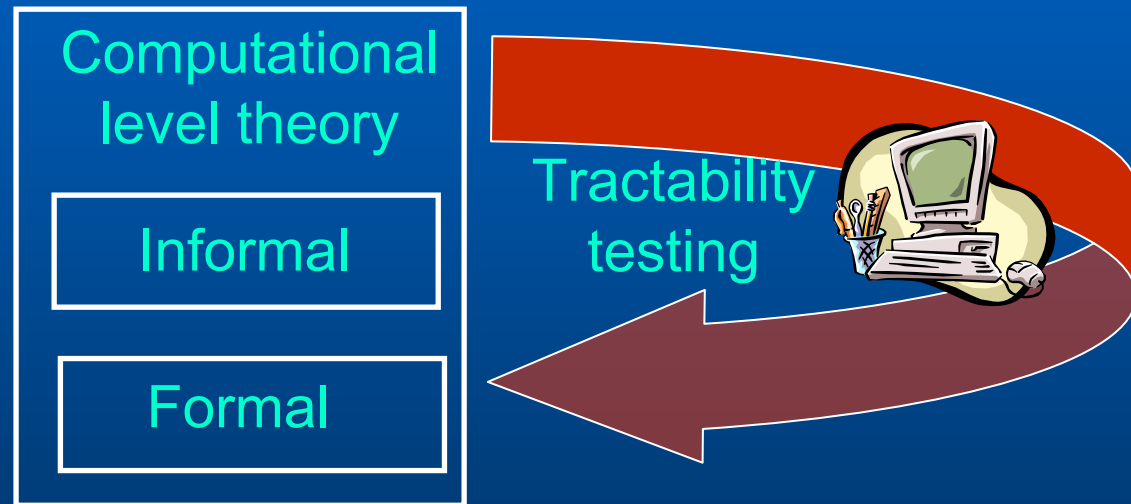
Implementing the Tractable- Design Cycle: Definitions and Techniques

Ulrike Stege

Department of Computer Science

University of Victoria

Implementing the Tractable-Design Cycle: Complexity Analysis— Definitions and Techniques

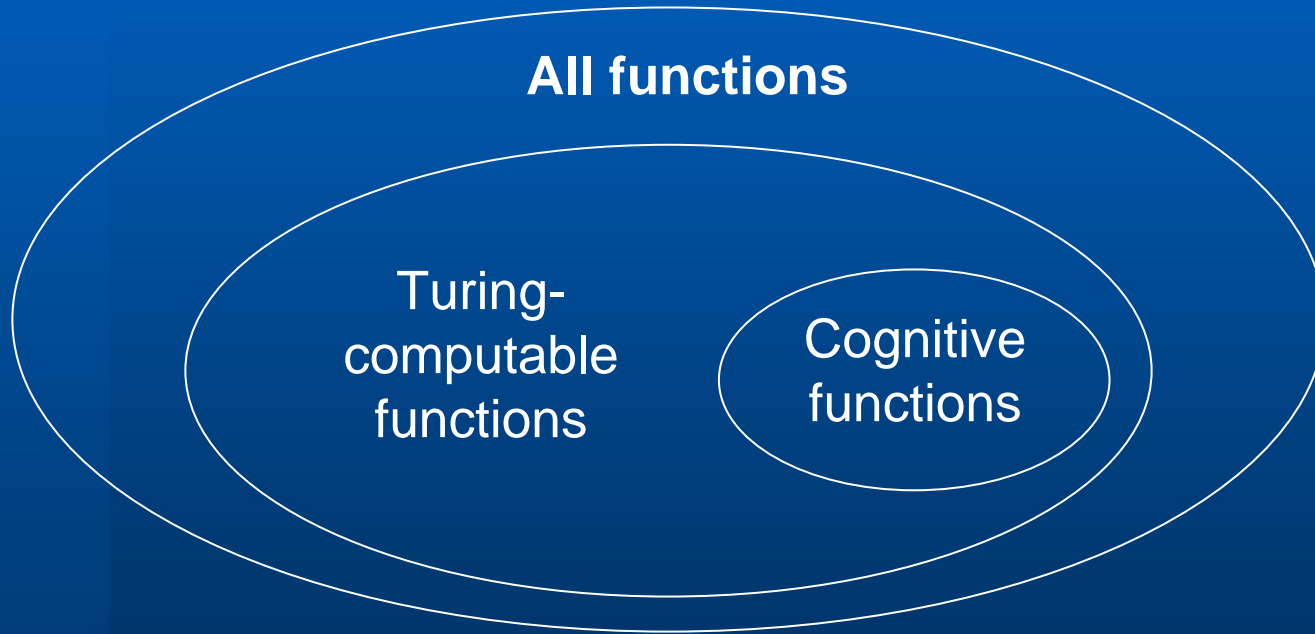


Joint work with Iris van Rooij, cf. Iris' PhD thesis.

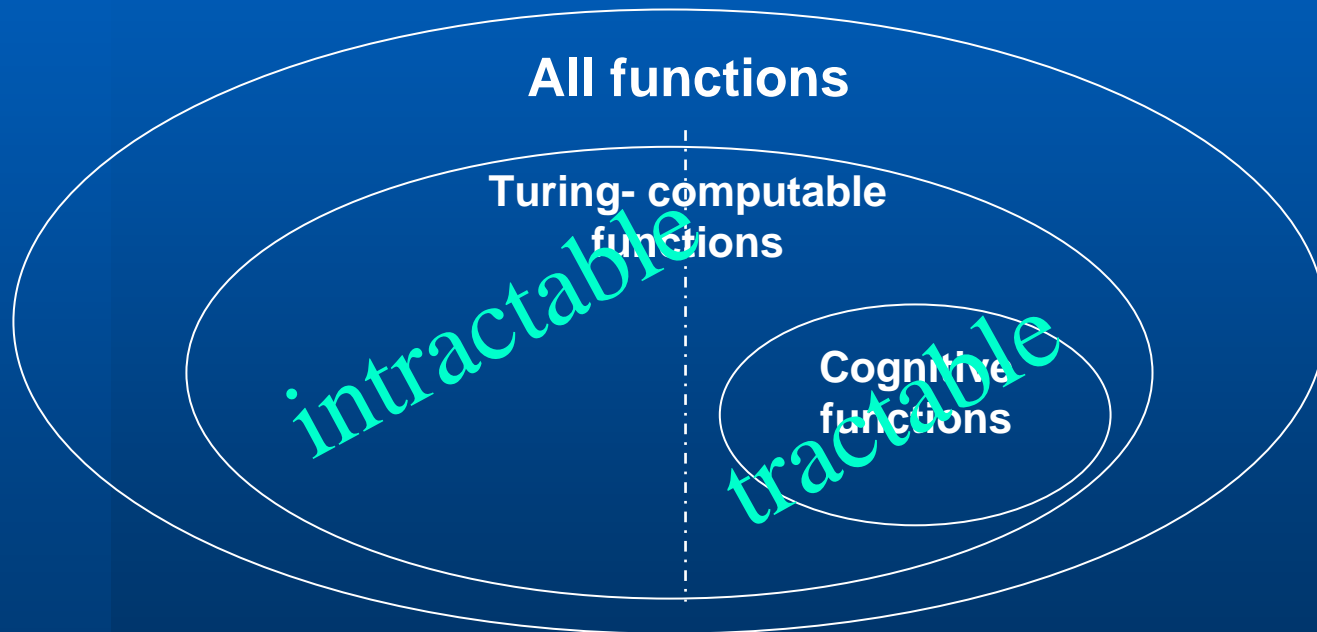
Outline

- **Motivation**
- **Computational problems**
 - Optimization Problems versus Decision Problems
- **Classical Tractability vs. Classical Intractability**
 - Classical Tractability and Polynomial time
 - Nondeterministic polynomial time
 - Classical Intractability: NP-hardness and NP-completeness
- **Parameterized decision problems**
 - Fixed-Parameter Tractability
 - Fixed-Parameter Intractability

Which functions can describe cognitive systems?



Which functions can describe cognitive systems?



Which cognitive systems are tractable?

- **Before Testing of Cognitive Theory**
 - Formalization of the cognitive theory → *computational problem*
 - *Tractable* or *intractable*? → Analyze complexity of computational problem
 - If intractable, revise cognitive theory

Computational Problems

- **Optimization Problems**
- **Decision Problems**
- **Optimization Problems versus Decision Problems**

Optimization Problems

- Maximization problems
- Minimization problems

Coherence (informal)

Input: A set of interconnected beliefs.

Output: A truth assignment of **maximum** coherence.

Optimization Problems

Coherence (even more formal)

Input: Network $N = (P, C)$, where C is partitioned into $C = C_- \cup C_+$.

Output: A subset $A \subseteq P$ such that $|\{(p, q) \in C : (p, q) \text{ is satisfied}\}|$ is *maximized*.

Here, $(p, q) \in C_-$ is *satisfied* if either $(p \in A$ and $q \notin A)$ or $(p \notin A$ and $q \in A)$, and $(p, q) \in C_+$ is *satisfied* if either $p, q \in A$ or $p, q \notin A$.

Computational Problems

- Optimization Problems
- Decision Problems
- Optimization Problems versus Decision Problems

Decision Problems

- Answer: **yes / no**

Decision Problem

Coherence (Decision Version)

Input: $N = (P, C)$, C is partitioned into $C = C_- \cup C_+$, a positive integer k

Question: Does there exist $A \subseteq P$ such that $|\{(p, q) \in C : (p, q) \text{ is satisfied}\}| \geq k$?

Decision Problems

- Answer: **yes / no**
- Often answer is *constructive*: If yes, we also know a solution that is a *witness* for answer.

Computational Problems

- Optimization Problems
- Decision Problems
- **Optimization Problems versus Decision Problems**

Decision Problems versus Optimization Problems

- **Goal** : Determine whether the formalized (optimization) problem is **tractable** or **intractable**.
- **Complexity Theory** : set up for decision problems
- **???**What about our optimization problem???



Decision Problems versus Optimization Problems



- In classical and parameterized framework we can show

If decision problem is tractable, then optimization problem is tractable, and vice versa!

Why?

Decision problem is tractable \Rightarrow optimization problem is tractable

- We first introduce our framework and then reconsider this issue.

- **Classical Complexity**

- Tractability \cong Polynomial Time $\cong \mathcal{P}$

$L \in \mathcal{P}$

A decision problem L is *decidable in polynomial time* iff for each instance $\langle x, k \rangle$ it can be decided in $|x|^c$ (c is constant) time whether $\langle x, k \rangle \in L$ or $\langle x, k \rangle \notin L$.

The Polynomial Time Class \mathcal{P}

- **Examples:** $|x|$, $|x|^2$, $|x|^3$, $|x|^{81}$

n	$O(n^2)$	$O(2^n)$	$O(2^{\kappa n}), \kappa = 10$
5	0.15 msec	0.19 msec	0.51 sec
20	0.04 sec	1.75 min	2.05 sec
50	0.25 sec	8.4×10^3 yrs	5.12 sec
100	1.00 sec	9.4×10^{17} yrs	10.2 sec
1000	1.67 min	7.9×10^{288} yrs	1.71 min

The Polynomial Time Class \mathcal{P}

- How can we prove that a decision problem $L \in \mathcal{P}$?

Decision Problems versus Optimization Problems

- In classical and parameterized framework we can show

If optimization problem is tractable,
then decision problem is tractable.

$$L_{\text{opt}} \in \mathcal{P} \Rightarrow L \in \mathcal{P}$$

$$L_{\text{opt}} \in \mathcal{P} \Rightarrow L \in \mathcal{P}$$

Decision Problems versus Optimization Problems



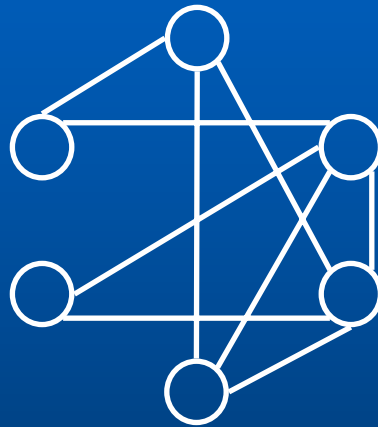
- In classical and parameterized framework we can show

If decision problem is tractable, then optimization problem is tractable!

Why?

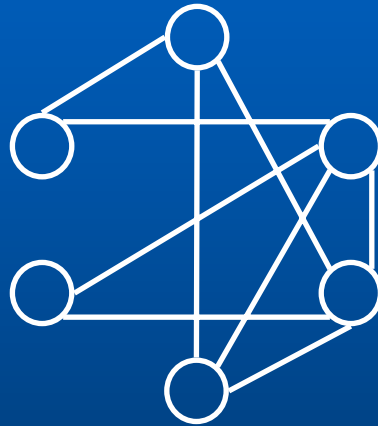
Coherence for $C = C^+$

$$k = 6$$



$$P' = ?$$

Coherence for $C = C+$ (opt. Version)



$$P' = ?$$

$$L \in \mathcal{P} \Rightarrow L_{\text{opt}} \in \mathcal{P}$$

- $x \in L_{\text{opt}}?$
- Range of k
- $\langle x, k \rangle$
- (Range of k) $|x|^c$


$$\in \mathcal{P}$$

Decision Problems versus Optimization Problems

- $L \in \mathcal{P} \Leftrightarrow L_{\text{opt}} \in \mathcal{P}$

Coherence (Decision Version)

Input: $N = (P, C)$, C is partitioned into $C = C_- \cup C_+$, a positive integer k

Question: Does there exist $A \subseteq P$ such that $|\{(p, q) \in C : (p, q) \text{ is satisfied}\}| \geq k$?

- Is Coherence $\in \mathcal{P}$?
- Answer: we don't really know.
- What do we know?

The Polynomial Time Class \mathcal{P}

- How can we prove that a decision problem $L \in \mathcal{P}$?
- How can we prove that a decision problem $L \notin \mathcal{P}$?

The Nondeterministic

Polynomial Time Class \mathcal{NP}

- A decision problem L is *decidable in nondeterministic polynomial time* iff for each instance $\langle x, k \rangle$ and any solution S , it can be verified in polynomial time ($|x|^c$) if S proves that $\langle x, k \rangle$ is a yes-instance for L .

The Nondeterministic

Polynomial Time Class \mathcal{NP}

- For a decision problem $L \in \mathcal{NP}$ and an instance $\langle x, k \rangle$ we can determine whether $\langle x, k \rangle$ is a yes-instance for L or $\langle x, k \rangle$ is a no-instance for L in *exponential time*.
- That is, we just have to try out each candidate solution!

Coherence $\in NP$

- What is a possible solution/witness for Coherence?
- Show
 - The witness is “short”
 - We can verify in a “short” time if the witness is a correct solution.

\mathcal{P} versus \mathcal{NP}

- A task that we can complete fast, we can also complete slow(er).
- Thus: $\mathcal{P} \subseteq \mathcal{NP}$
- But: the converse does not necessarily hold!

$$P = NP?$$



- Million dollar question!
- Assumption: $P \neq NP$
- We assume there is a decision problem L such that $L \in P$ and $L \notin NP$.
- We say a problem is *NP-hard* if it is at least as hard as any problem in NP .
- We say a problem is *NP-complete* if it is
(1) NP -hard and (2) also in NP itself.

(Classically) intractable

- An \mathcal{NP} -hard decision problem is viewed as (classically) **intractable**.
- To prove that $\mathcal{P} = \mathcal{NP}$ it is enough to show that there exists an \mathcal{NP} -hard problem that is in \mathcal{P} !
- So far, nobody was able to do so ...

Proving \mathcal{NP} -hardness

Let L be the problem we want to show \mathcal{NP} -hardness for.

- Show that there is an \mathcal{NP} -hard problem L' that can be **polynomial-time reduced to L** .



Proving \mathcal{NP} -hardness

- If L is \mathcal{NP} -hard, then a polynomial-time algorithm for L would also imply a polynomial-time algorithm for L' .
- How do we find L' ?
 - There is a huge catalogue of problems that are shown to be \mathcal{NP} -hard, just pick one that works without too much trouble.
 - Not very difficult, but experience helps.

Coherence is \mathcal{NP} -hard

- We reduce from a problem called **Max-Cut**
- Max-Cut is known to be \mathcal{NP} -complete [GJ'79].
- More details in demo session tomorrow.

Corollary

- **Coherence is \mathcal{NP} -complete**
 - even for $C = C^-$

Is Coherence (really) intractable?

Reconsider / specify the task.

- **Can we reduce the input space?**
- **Can we parameterize?**

Reducing the input space: $C = C_+$

- We can decide Coherence in polynomial time if $C = C_+$.

Reducing the input space: Is a network consistent?

- A network is **consistent** if every edge (constrained) can be satisfied.
- We can decide in polynomial time whether or not a network $N = (P, C)$ is consistent.

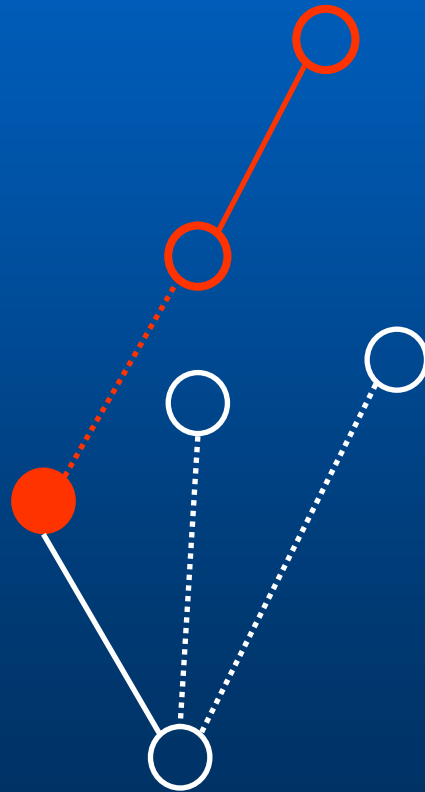
Reducing the input space: Coherence for trees



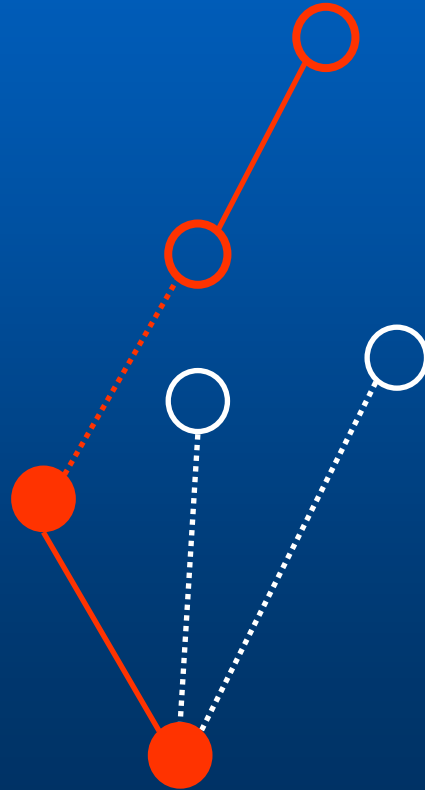
Reducing the input space: Coherence for trees



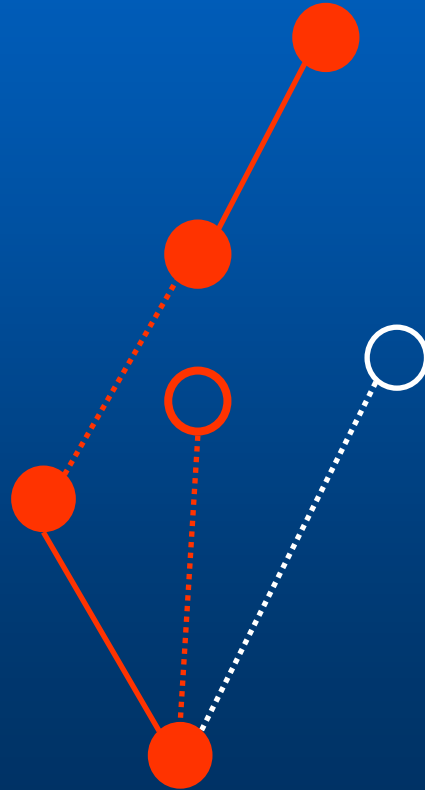
Reducing the input space: Coherence for trees



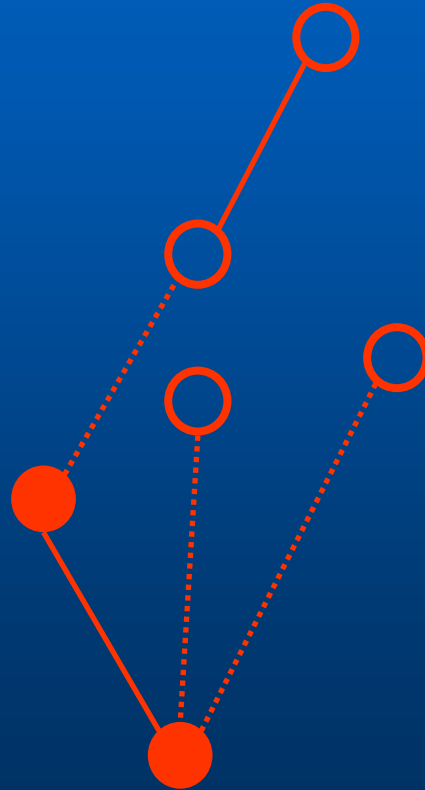
Reducing the input space: Coherence for trees



Reducing the input space: Coherence for trees



Reducing the input space: Coherence for trees



Reducing the input space: Coherence for trees

Every tree is a
consistent
network!



Is Coherence (really) intractable?

Reconsider / specify the task.

- Can we reduce the input space?
- Can we parameterize?

Parameterized Complexity

- Parameterized decision problem
- Parameterized Complexity Classes

– FPT

– $\mathcal{W}[1]$

– $\mathcal{W}[2]$

– ...

Parameterized decision problem

- Like decision problem, but a parameter (explicit or implicit) is specified.

k-Coherence (Parameterized Decision Version)

Input: An (inconsistent) network $N = (P, C)$,
 C is partitioned into $C = C_- \cup C_+$, a
positive integer k

Parameter: k

Question: Does there exist $A \subseteq P$ such
that $|\{(p, q) \in C : (p, q) \text{ is satisfied}\}| \geq k$?

Fixed-Parameter Tractability

A parameterized decision problem L is **fixed-parameter tractable** (*fpt*) if there exists a constant α and an algorithm Φ such that Φ decides if $\langle x, k \rangle$ is a yes-instance for L in time $f(k) \cdot |x|^\alpha$ where f is an arbitrary function of the parameter k .

Examples for *FPT* running times

instance size $|x| = n$, parameter k

$$2^k n$$

$$2817^{2k} + n^3$$

$$n^{91}$$

$$k^{k^{k^k}} + n$$

Remarks

A problem that is \mathcal{NP} -hard or \mathcal{NP} -complete for can be fixed-parameter tractable for a chosen parameter!

A problem that is in \mathcal{P} is fixed-parameter tractable for **any** chosen parameter.

k -Coherence

Input: An (inconsistent) network $N = (P, C)$,
 C is partitioned into $C = C_- \cup C_+$, a
positive integer k

Parameter: k

Question: Does there exist $A \subseteq P$ such
that $|\{(p, q) \in C : (p, q) \text{ is satisfied}\}| \geq k$?

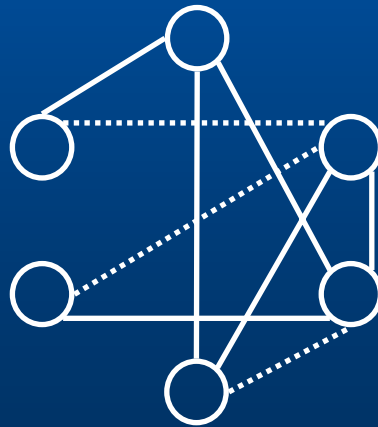
k -Coherence for connected networks is in FPT

- A network N is **connected** if for every pair of nodes there exists a path in N .

k -Coherence for connected networks is in FPT

Lemma. Let $\langle N, k \rangle$ be an instance for k -Coherence, N connected. If $|P| > k$, then $\langle N, k \rangle$ is a yes-instance.

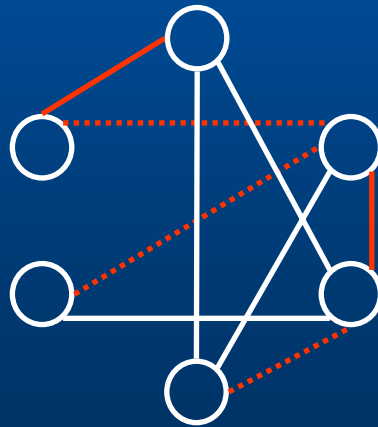
Proof.



k -Coherence for connected networks is in FPT

Lemma. Let $\langle N, k \rangle$ be an instance for k -Coherence, N connected. If $|P| > k$, then $\langle N, k \rangle$ is a yes-instance.

Proof.

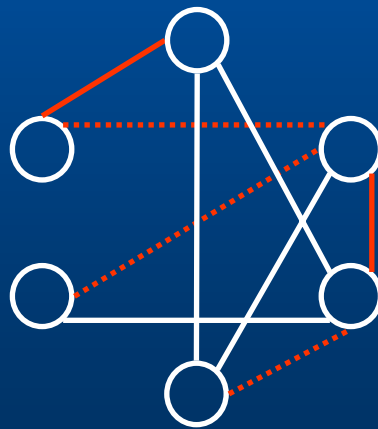


Pick a spanning subtree of N .

k -Coherence for connected networks is in FPT

Lemma. Let $\langle N, k \rangle$ be an instance for k -Coherence, N connected. If $|P| > k$, then $\langle N, k \rangle$ is a yes-instance.

Proof.



Pick a spanning subtree of N . \Rightarrow
At least $|P|$ edges are satisfied!

k -Coherence for connected networks is in FPT

- $\langle N, k \rangle$ instance for k -Coherence
- N connected
- If $|P| > k$ then $\langle N, k \rangle$ is a yes-instance.
- Else $|P| \leq k$.
- How much time does it take to decide the answer for $\langle N, k \rangle$ with $|P| \leq k$?
- $|E| = ?$

k -Coherence for connected networks is in FPT

- $\langle N, k \rangle$ instance for k -Coherence
- N connected
- If $|P| > k$ then $\langle N, k \rangle$ is a yes-instance.
- Else $|P| \leq k$.
- How much time does it take to decide the answer for $\langle N, k \rangle$ with $|P| \leq k$?
- $|E| \leq \binom{k}{2}$

k -Coherence for connected networks is in FPT

- N is bounded in size in a function of k
 - Problem Kernel
- Even if we have to try out every possible solution, the number of those is still a function of k
- We can answer in fixed-parameter-tractable time for parameter k

Proving *FPT*-Membership

- Give an algorithm
 - Problem Kernel / Kernelization
 - Bounded Search Tree
- Prove existence of a problem kernel
 - Boundary Lemma
- Graph Minor Theorem
- More details in demo session tomorrow.

How do we show that a (parameterized decision) problem is parameterized intractable?

- Prove that the problem is hard for class $\mathcal{W}[1]$ or class $\mathcal{W}[2]$ or ...
- Prove that: if the problem is in FPT , then $\mathcal{P} = \mathcal{NP}$.

$\mathcal{W}[1]$

- $FPT \subseteq \mathcal{W}[1]$
- Conjecture: $FPT \neq \mathcal{W}[1]$
- Hard for $\mathcal{W}[1]$
 - Problems that are likely **not** fixed-parameter tractable
 - Running times are something like n^k

Prove that a (parameterized) problem is hard for class $\mathcal{W}[1]$

- Via **parameterized** reduction from a problem that is known to be hard for $\mathcal{W}[1]$ and that further preserves the parameter.
- Similar idea as in \mathcal{NP} -hardness proofs.
 - ☺ Time permitted is in FPT (any function in parameter is allowed, rest polynomial)
 - ☹ Parameter has to be preserved!
- ☺ Many of the “classic” \mathcal{NP} -hardness reductions in the literature are already parameterized.

Summary

- We investigated techniques from computer science to prove (in)tractability for decision problems and optimization problems.
- We also observed: If a special case of a decision problem is (\mathcal{NP} -)hard, then the problem itself is (\mathcal{NP} -)hard itself.
- Further: If we can prove that a problem is tractable, then its special cases are tractable as well.



Demo Session

Ulrike Stege (University of Victoria)

Iris van Rooij (TU Eindhoven)

Topics

- \mathcal{NP} -completeness proofs
 - Membership
 - Polynomial-time reduction
- FPT -algorithms
 - Technique of building a problem kernel
 - Technique of bounded a search tree
 - combination

Topics

- **FPT -membership**
 - Existence of a problem kernel
 - Graph Minor Theorem
- **Parameterized intractability**
 - Parameterized reduction
 - Not in FPT unless $P = NP$
 - Membership in $\mathcal{W}[1]$

Coherence (Decision Version)

Input: An (inconsistent) network $N = (P, C)$,
 C is partitioned into $C = C_- \cup C_+$, a
positive integer k

Question: Does there exist $A \subseteq P$ such
that $|\{(p, q) \in C : (p, q) \text{ is satisfied}\}| \geq k$?

Coherence is \mathcal{NP} -hard

We reduce from

Max-Cut (decision version)

Input : A graph $G = (V, E)$. A positive integer m .

Question : Does there exist a partition of V into sets A and R such that $|\{(u, v) \in E : u \in A, v \in R\}| \geq m$?

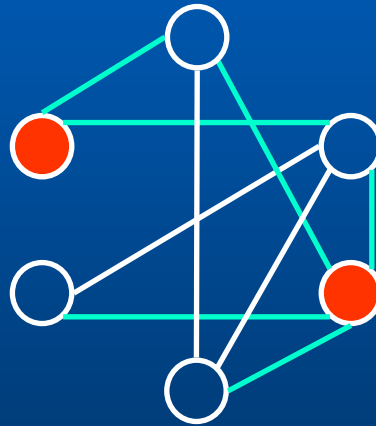
- Max-Cut is known to be \mathcal{NP} -complete [GJ'79].

Max Cut (Example)

$m = 4$

A

R



Proving \mathcal{NP} -hardness

Let L be the problem we want to show \mathcal{NP} -hardness for.

- Show that there is an \mathcal{NP} -hard problem L' that can be **polynomial-time reduced to L** .



Coherence is \mathcal{NP} -hard

- Let (G, m) be an instance for Max Cut.
 - We define an instance $\langle N, k \rangle$ for Coherence as follows.
 - $P = V$
 - $C = E$
 - $C = E$
 - $k = m$
- $\left. \begin{array}{l} - P = V \\ - C = E \\ - C = E \end{array} \right\} N = G$
- We still have to prove

$\langle G, m \rangle$ is a yes-instance for Max-Cut if and only if $\langle N, k \rangle$ is a yes-instance for Coherence

$\langle G, m \rangle$ is a yes-instance for Max-Cut if and only if $\langle N, k \rangle$ is a yes-instance for Coherence.

“ \Rightarrow ”

- Since $\langle G, m \rangle$ is a yes-instance for Max-Cut, we can assume V be partitioned into A and R . Further let $|\{(u, v) \in E : u \in A, v \in R\}| \geq m$.
- We show A is a solution for N . Consider an edge $e \in \{(u, v) \in E : u \in A, v \in R\}$. Edge e is satisfied!
- There are at least $m = k$ many of those edges!

(G, m) is a yes-instance for Max-Cut if and only if (N, k) is a yes-instance for Coherence.

“ \Leftarrow ”

- Let P' be a solution for N .
- We define a partition $A = P'$, $R = V - P'$ for G . Let e be satisfied in N . Then $e \in \{(u, v) \in E : u \in A, v \in R\}$. Then

$$|\{(u, v) \in E : u \in A, v \in R\}| \geq p = m$$

Corollary

- Coherence is \mathcal{NP} -complete
 - even for $C = C-$

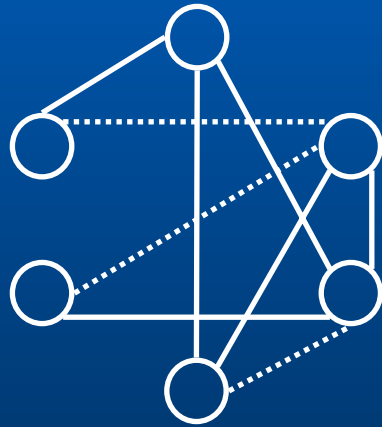
To determine whether a network
is consistent is in \mathcal{P} .

Coherence for trees

Every tree is a
consistent
network!



To determine whether a network
is consistent is in \mathcal{P} .



Technique of Problem Kernel

k-Coherence (Parameterized Decision Version)

Input: An (inconsistent) network $N = (P, C)$,
 C is partitioned into $C = C_- \cup C_+$, a
positive integer k

Parameter: k

Question: Does there exist $P' \subseteq P$ such
that $|\{(p, q) \in C : (p, q) \text{ is satisfied}\}| \geq k$?

k -Coherence for connected networks is in FPT

Lemma. Let $\langle N, k \rangle$ be an instance for k -Coherence, N connected. If $|P| > k$, then $\langle N, k \rangle$ is a yes-instance.

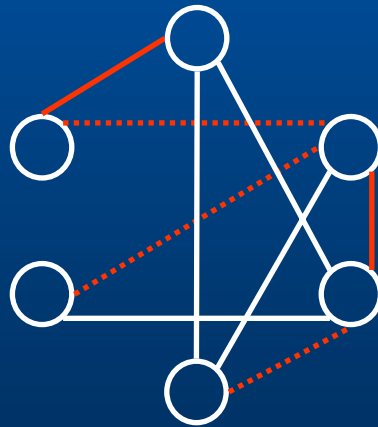
Proof.



k -Coherence for connected networks is in FPT

Lemma. Let $\langle N, k \rangle$ be an instance for k -Coherence, N connected. If $|P| > k$, then $\langle N, k \rangle$ is a yes-instance.

Proof.

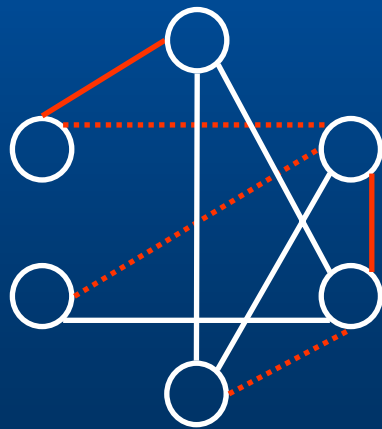


Pick a spanning subtree of N .

k -Coherence for connected networks is in FPT

Lemma. Let $\langle N, k \rangle$ be an instance for k -Coherence, N connected. If $|P| > k$, then $\langle N, k \rangle$ is a yes-instance.

Proof.



Pick a spanning subtree of N . \Rightarrow
At least $|P|$ edges are satisfied!

k -Coherence for connected networks is in FPT

- $\langle N, k \rangle$ instance for k -Coherence
- N connected
- If $|P| > k$ then $\langle N, k \rangle$ is a yes-instance.
- Else $|P| \leq k$.
- How much time does it take to decide the answer for $\langle N, k \rangle$ with $|P| \leq k$?
- $|E| = ?$

k -Coherence for connected networks is in FPT

- $\langle N, k \rangle$ instance for k -Coherence
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k -Coherence for connected networks is in FPT

- N is bounded in size in a function of k
 - N is a problem Kernel
- Even if we have to try out every possible solution, the number of those is still a function of k
- We can answer in fixed-parameter-tractable time for parameter k

k -Coherence for connected networks is in FPT

- N is bounded in size in a function of k
 - N is a problem Kernel
- However: Often this is just the 1st step of an fpt -algorithm.

Technique of bounded search trees

- We show using this technique that the problem $\text{C-}|\text{-Coherence}$ is in FPT .

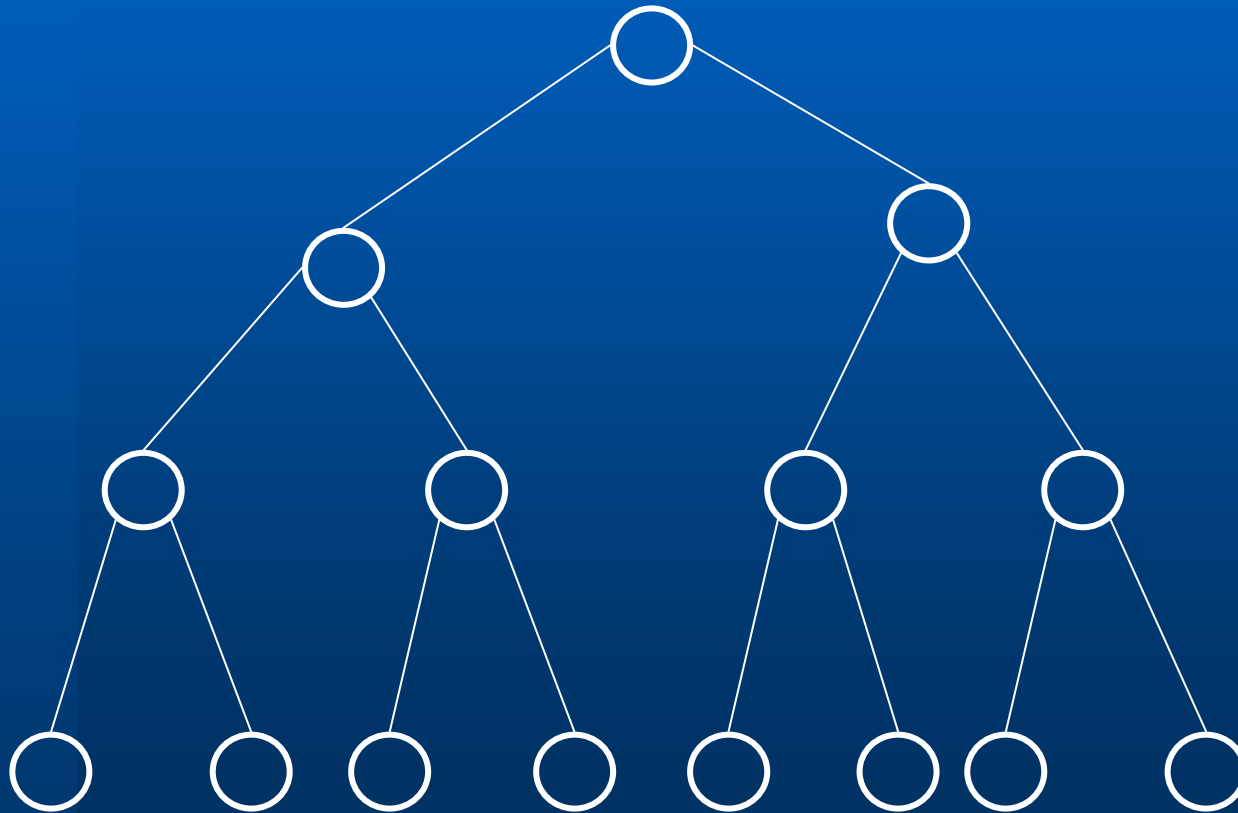
$|C_-|$ -Coherence (Parameterized Decision Version)

Input: An (inconsistent) network $N = (P, C)$,
 C is partitioned into $C = C_- \cup C_+$, a
positive integer k

Parameter: $|C_-|$

Question: Does there exist $P' \subseteq P$ such
that $|\{(p, q) \in C : (p, q) \text{ is satisfied}\}| \geq k$?

Technique of bounded search trees – smart exhaustive search



$|C_-|$ -Coherence

Input: An (inconsistent) network $N = (P, C)$,
 C is partitioned into $C = C_- \cup C_+$, a
positive integer k

Parameter: $|C_-|$

Question: Does there exist $P' \subseteq P$ such
that $|\{(p, q) \in C : (p, q) \text{ is satisfied}\}| \geq k$?

$|C-|$ -Coherence is in FPT

- Generalize $|C-|$ -Coherence to $|C-|$ -Annotated Coherence
- Apply technique of bounded search tree to $|C-|$ -Annotated Coherence

Generalization of $|C_-|$ -Coherence

$|C_-|$ -Annotated Coherence

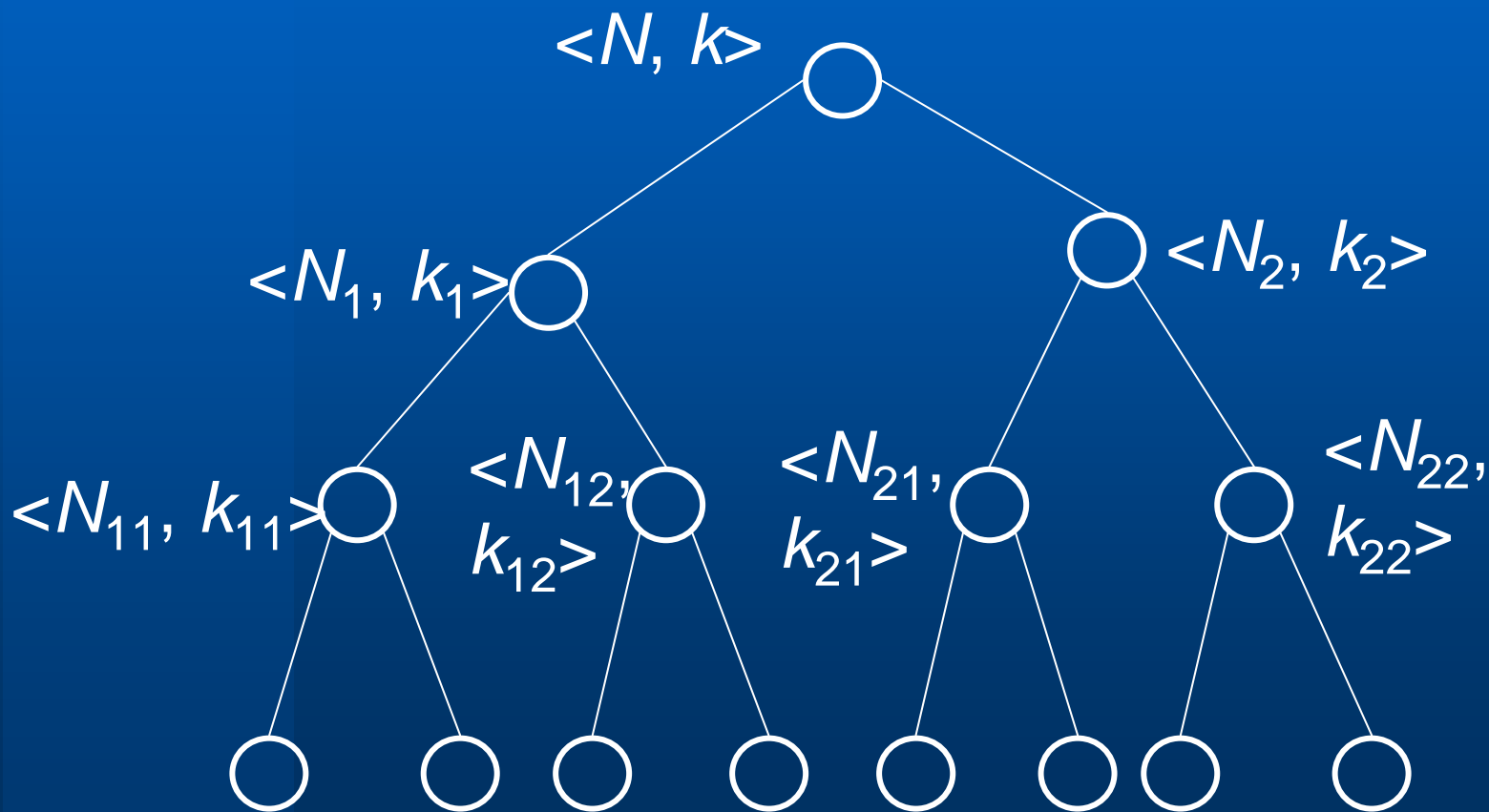
Input: A network $N = (P, C)$. Here, P is partitioned into U^* , P^* , and R^* , and C is partitioned into C_+ and C_- . A positive integer k .

Parameter: $|C_-|$

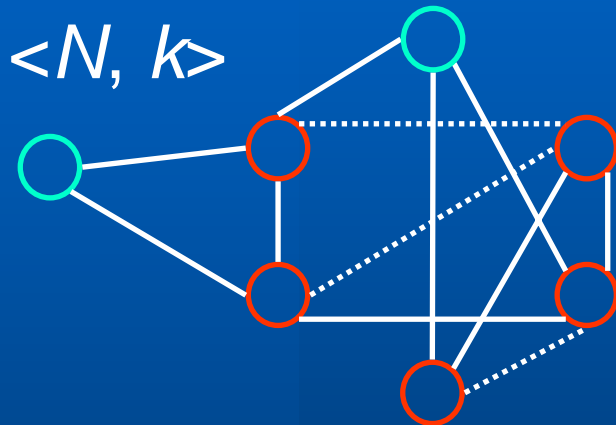
Question: Does there exist a partition of P into P' and R such that $P^* \subseteq P'$, $R' \subseteq R$, and at least k edges are satisfied by A and R ?

$|C-|$ -Coherence is a special case
of $|C-|$ -Annotated Coherence

An *FPT*-Algorithm for $|C_-|$ - Annotated Coherence



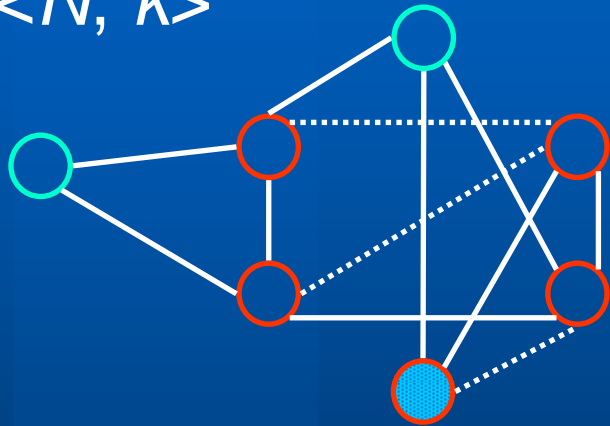
An FPT -Algorithm for $|C_-|$ - Annotated Coherence



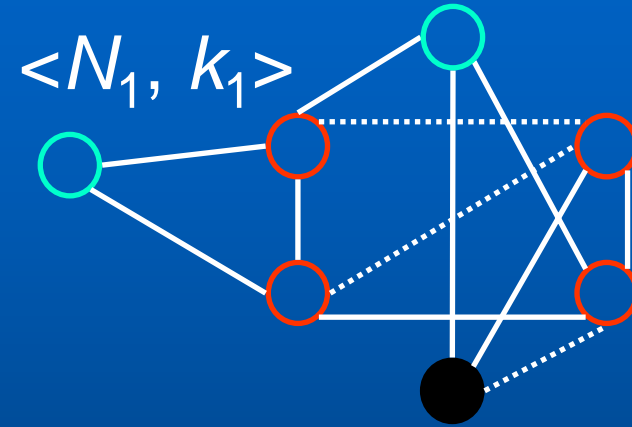
$$P = P_- \cup P_+$$

An *FPT*-Algorithm for $|C_-|$ -Annotated Coherence

$\langle N, k \rangle$

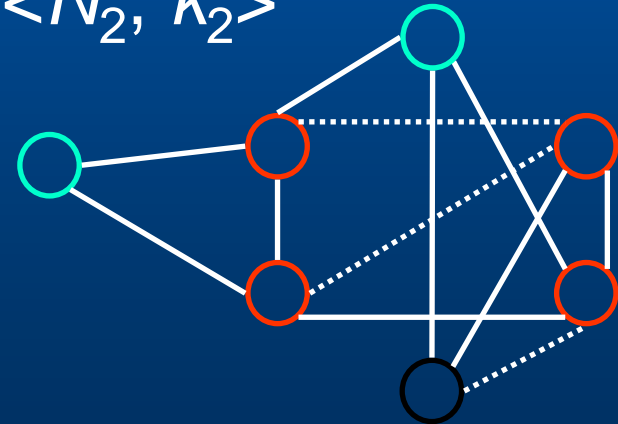


$p \in P_-$



Select p

$\langle N_2, k_2 \rangle$



Do not select p

(P -)-Element-decision Branching-Rule

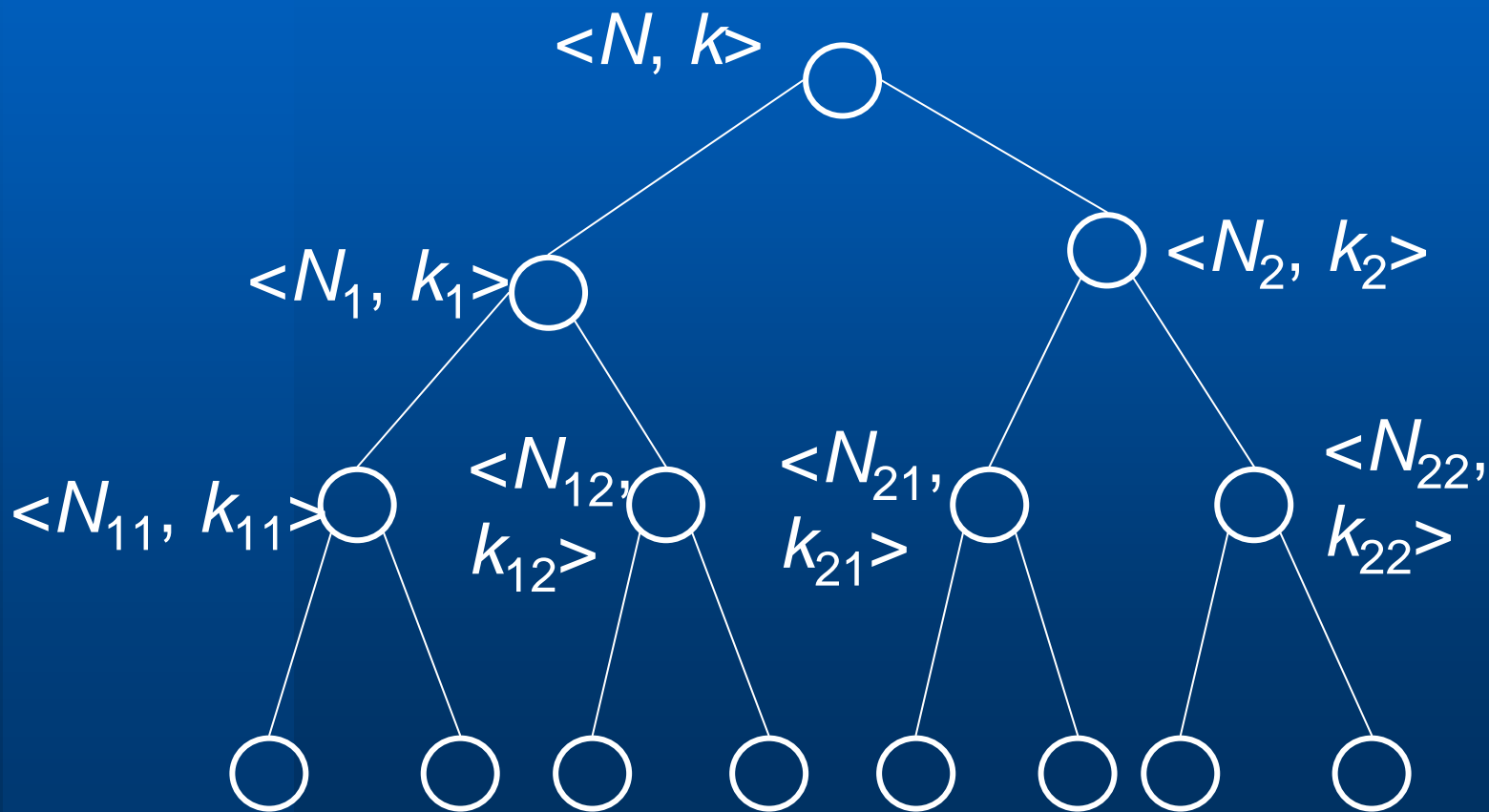
$\langle N, k \rangle$: instance for $|C|$ -Annotated Coherence

- $N = (P, C)$
- $P = U \cup P' \cup R'$, and
- $P = P^- \cup P^+$.
- Let $p \in U \cap P^-$.

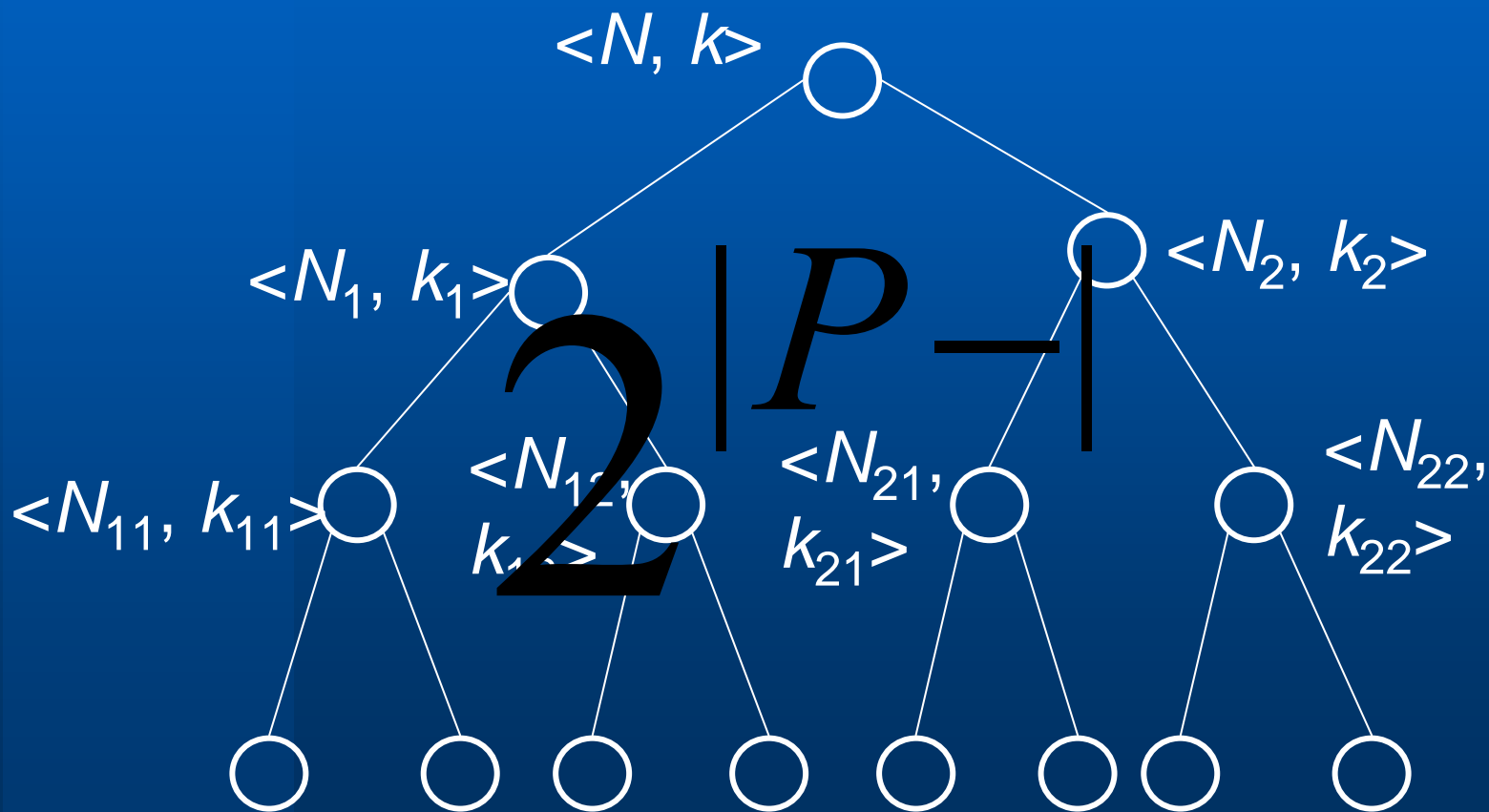
Create in the search tree two children of $\langle N, k \rangle$.

- $\langle N_1, k_1 \rangle$: $N_1 = (P_1, C_1)$ with $U_1' = U \setminus \{p\}$,
 $P_1' = P' \cup \{p\}$, $R_1' = R'$, $k_1 = k$
- $\langle N_2, k_2 \rangle$: $N_2 = (P_2, C_2)$ with $U_2' = U \setminus \{p\}$,
 $P_2' = P'$, $R_2' = R' \cup \{p\}$, $k_2 = k$.

How big is the search tree after applying the reduction rule as often as possible?



How big is the search tree after applying the reduction rule as often as possible?



An *FPT*-Algorithm for $|C-|$ - Annotated Coherence

- $2^{|P-|} \leq ?$
- $|P-| \leq 2|E-|$
- $2^{|P-|} \leq 2^{2|E-|}$
- Running time so far: $2^{2|E-|}|N|$
- If not solved: How does an instance look like after this branching process?

An *FPT*-Algorithm for $|C_-|$ - Annotated Coherence

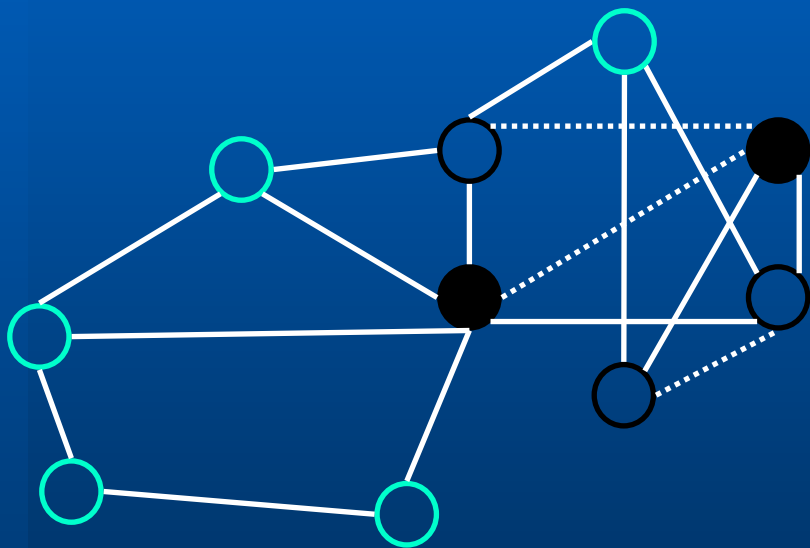
- In N are only vertices from P_+ left! That means we are left with only positive undecided constraints.
- we can clean up the decided constraints, i.e. we remove them from the network
- Afterwards we can also remove the isolated vertices

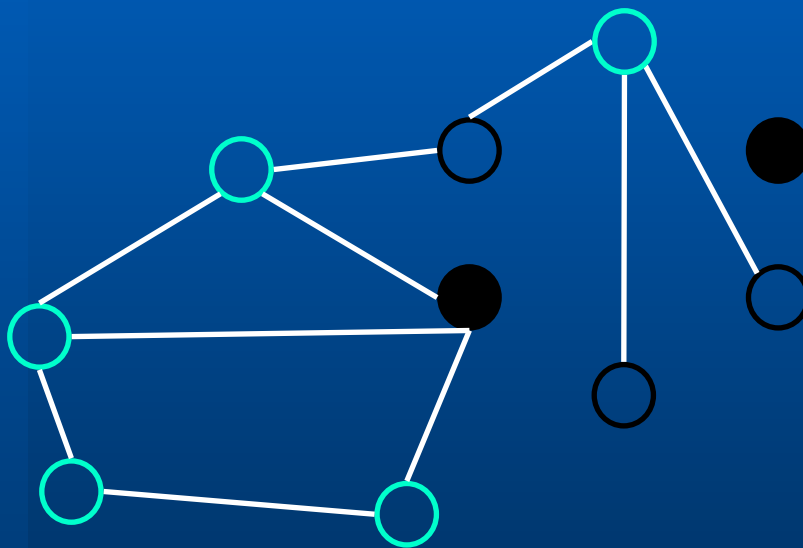
$|C_-|$ -Annotated Coherence with $P = P_+$

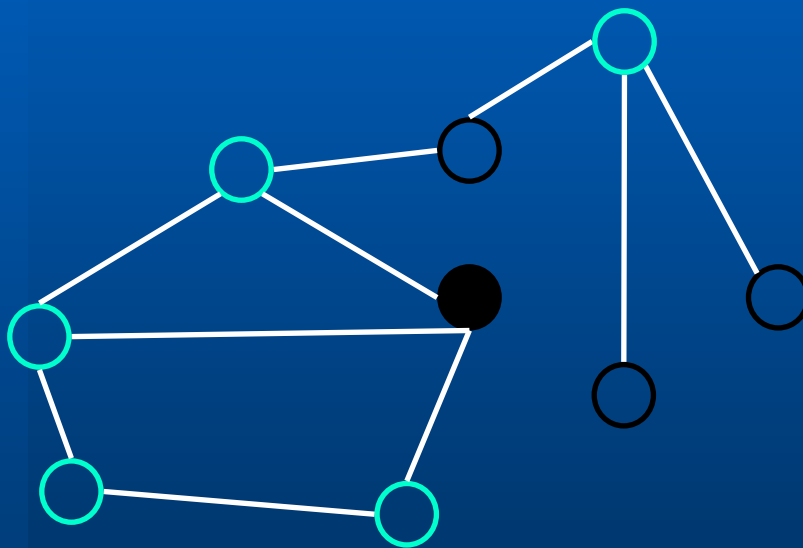
Input. A network $N = (P, C)$. Here, P is partitioned into U^* , P^* , and R^* , and $C = C_+$. A positive integer k .

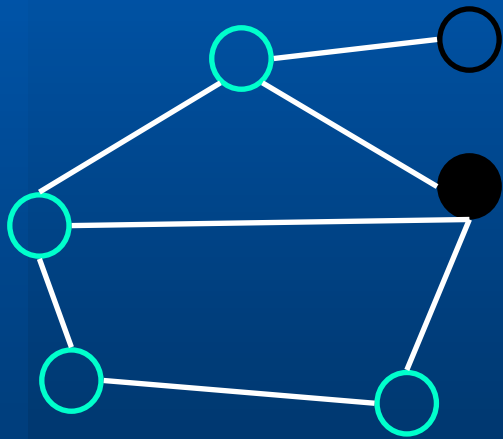
Parameter: $|C_-|$

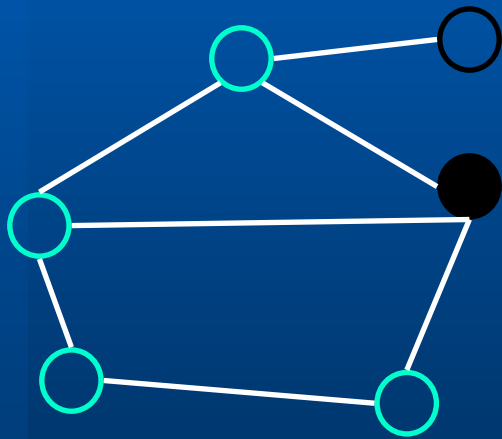
Question: Does there exist a partition of P into P' and R such that $P^* \subseteq P'$, $R' \subseteq R$, and at least k edges are satisfied by A and R ?





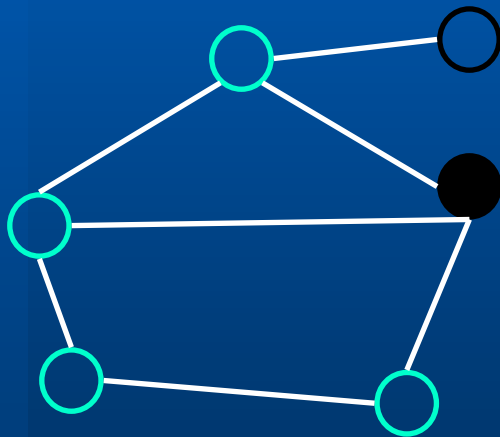






Reduction Rule

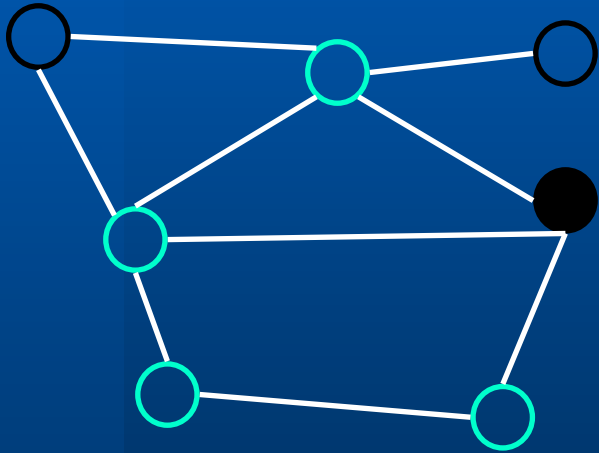
- Let p be a vertex where all neighbors are already selected. If $|N(v) \cap A^*| > |N(v) \cap R^*|$ then accept p , else reject p .

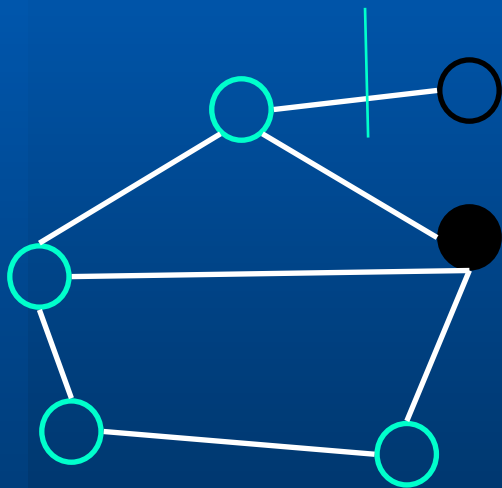


If all nodes that are selected are in A^* , then $P = A$.

If all nodes that are selected are in R^* , then $P = R$.

Otherwise network is inconsistent.





Corollary: $|C+|$ -Coherence \notin FPT
(unless $P = NP$)

Parameterized Reduction
